

#6

SONYJP 3.0-152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
 Okawa et al :
 :
 Application No. :
 :
 Filed: April 6, 2001 :
 :
 For: ELECTRONIC EQUIPMENT AND :
 METHOD FOR PROCESSING DIGITAL :
 SERIAL DATA AT BUS :
 INITIALIZATION PHASE IN :
 INTERFACE UNIT :
 X

28
 RECEIVED
 9/17/01

Date: April 9, 2001

Official

Box DAC
 Commissioner for Patents
 Washington, D.C. 20231

PETITION TO CORRECT CORRESPONDENCE FILING
 DATE FOR "DATE-IN" INCORRECTLY ENTERED
 ON EXPRESS MAIL LABEL (37 C.F.R. 1.10(d))

Sir:

This is a petition to accord the above-identified application a filing date of April 6, 2001, which is the date the application was deposited with the United States Postal Service (U.S.P.S.) with proper postage.

Submitted herewith as EXHIBIT A is an Affidavit of Megan Doktor, the clerk who hand-delivered the envelope to the U.S.P.S. before the close of business on April 6, 2001. Also submitted herewith as EXHIBIT B is an Affidavit of Phyllis Kelly, Manager of U.S. Patent and Trademark Administration. These affidavits set forth when the discrepancy was discovered

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on April 9, 2001.

(Signature)
 ARNOLD H. KRUMHOLZ

Typed or Printed Name of Person Signing Certificate

SONYJP 3.0-152

and establish that this petition is being filed promptly thereafter. The Affidavit of Ms. Doktor includes the Express Mail log containing the entry of the Express Mail label and the date and time the envelope was delivered to the U.S.P.S.

Submitted herewith as EXHIBIT C is a true copy of the first page of the correspondence which was filed, showing both the date on which the correspondence was mailed as Express Mail Label No. EL756209292US and that the number of the Express Mail was placed thereon prior to mailing.

Correction of the filing date is required because the wrong "Date-In" was entered by the U.S.P.S. on the original "Express Mail" label attached to the envelope to be delivered to the PTO. Submitted herewith as EXHIBIT D is a photocopy of a computer report from the U.S.P.S. containing Express Mail label number EL756209292US mailed under this firm's corporate account (No. 070255) and the mailing date of April 6, 2001.

The petition fee in the amount of \$130 or any fee deficiency should be charged to applicant's attorneys' deposit account no. 12-1095.

It is believed that more than sufficient evidence has been provided to establish that the mailing date was incorrectly entered on the Express Mail label by the U.S.P.S., and that such evidence is corroborated from the U.S.P.S. within one business day of the actual deposit. It is respectfully requested that this petition be granted and the above application be accorded a filing date of April 6, 2001, the date of mailing by Express Mail.

Respectfully submitted,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP



ARNOLD H. KRUMHOLZ
Reg. No. 25,428

600 South Avenue West
Westfield, New Jersey 07090
Telephone: (908) 654-5000
Facsimile: (908) 654-7866

304161_1.DOC

Exhibit A

THIS PAGE BLANK (USPTO)

EXPRESS MAIL LOG

Exhibit B

THIS PAGE BLANK (USPTO)

Exhibit C

THIS PAGE BLANK (USPTO)

LAW OFFICES

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1497

19081 684-5000

FAX: 19081 654-7866

www.ldkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

April 6, 2001

THOMAS M. PALISI
 STEPHEN F. ROTH
 KIMBERLY V. PENNY
 RENEE M. ROSENCON
 LYNNE A. BORCHERS
 MICHAEL J. DOHERTY
 MATTHEW B. GERNIER
 J. KIRKLAND DOUGLAS
 SCOTT B. BAZILLIA
 JEANNE P. VALLERUONA
 EDWARD D. PERGAMENT
 FRANK J. CHEREBY II
 WILLIAM SMITH
 TIMOTHY J. LUBICKI
 TIMOTHY J. BORTREC
 YUFENG LIU

OF COUNSEL
 LAWRENCE I. LERNER
 DANIEL K. SOBIS
 RAYMOND W. AUGUSTIN
 HARVEY L. COHEN

*NORTH CAROLINA BAR ONLY
 *NEW YORK BAR ONLY
 *PENNSYLVANIA BAR ONLY

SIDNEY DAVID
 JOSEPH S. LITTENBERG
 ARNOLD H. KRUMHOLZ
 WILLIAM L. MENTLIK
 JOHN R. NELSON
 ROY H. WEPNER
 STEPHEN B. GOLDMAN
 CHARLES P. KENNEDY
 PAUL M. KOCHANSKI
 MARCUS J. MILLET
 BRUCE M. SALES
 ARNOLD B. DOMPIERI
 KIRTH E. GILMAN
 ROBERT B. COHEN
 MICHAEL H. TEGHNER
 GREGORY S. GEWIRTZ
 JONATHAN A. DAVID
 SHAWN P. POLEY
 JEFFREY B. DICKEY

OX PATENT APPLICATION
 Commissioner For Patents
 Washington, D.C. 20231

File No.: SONYJP 3.0-152
 Inventor(s): Okawa et al.
 Title : ELECTRONIC EQUIPMENT AND METHOD FOR PROCESSING DIGITAL
 SERIAL DATA AT BUS INITIALIZATION PHASE IN INTERFACE UNIT

CUSTOMER NUMBER 000530

Dear Sir:

Enclosed herewith please find the following documents in the above-identified application for Letters Patent of the United States:

1	Pages of Abstract	Unexecuted Declaration (executed Declaration to follow)
49	Pages of Specification	One (1) return-addressed postcard
5	Number of Claims	<u>PLEASE PROVIDE FILING DATE AND SERIAL NUMBER</u>
25	Sheets of Drawings	

Please charge Deposit Account No. 12-1095 in the amount of \$710.00, calculated as follows:

Basic Fee	\$ 710.00
-----------	-----------

Additional Fees:

Total number of claims (including multiple dependent claims):	5	
Total number of claims in excess of 20:	0 x \$18	0.00
Number of Independent claims:	2	
Number of independent claims minus 3:	0 x \$60	0.00
Fee for multiple dependent claim(s) (\$270)		0.00

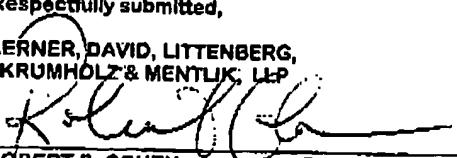
TOTAL FILING FEE	\$ 710.00
-------------------------	-----------

CONVENTION DATE: April 7, 2000 for Japanese Appln. S.N. P2000-107065 is claimed.

Priority Document: Enclosed Will follow

In the event the actual fee is greater than the payment authorized above, the Patent Office is authorized to charge any deficiency to our Deposit Account No. 12-1095.

Respectfully submitted,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP


ROBERT B. COHEN

Reg. No. 32,768

3 (LBUNEX) 98022

EXPRESS MAIL LABEL NUMBER: EL 756209292US

Exhibit D

THIS PAGE BLANK (USPTO)



***** WELCOME TO *****

WESTFIELD POST OFFICE

Welcome to the Westfield Post Office
Taxes due Monday, April 16, 2001, 12PM
04/06/01 04:43PM

Store USPS Trans 159
 Mkstn sys5004 Cashier KPVDT
 Cashier's Name ELIA
 Stock Unit Id WINER
 PO Phone Number 908-233-1167

1. Exp. Mail PO-ADD 0.00
 Destination: 20231
 Weight: 1lb 1.80oz
 Postage Type: None
 Total Cost: 16.00
 Base Rate: 16.00
 Label#: EL756209995US
 Corporate Acct.: 070255
 Amount Charged: 16.00
2. Exp. Mail PO-ADD 0.00
 Destination: 20231
 Weight: 8.60oz
 Postage Type: None
 Total Cost: 16.00
 Base Rate: 16.00
 Label#: EL756208703US
 Corporate Acct.: 070255
 Amount Charged: 16.00
3. Exp. Mail PO-ADD 0.00
 Destination: 22202
 Weight: 2.70oz
 Postage Type: None
 Total Cost: 12.25
 Base Rate: 12.25
 Label#: EL756119138US
 Corporate Acct.: 070255
 Amount Charged: 12.25
4. Exp. Mail PO-ADD 0.00
 Destination: 20231
 Weight: 1lb 5.20oz
 Postage Type: None
 Total Cost: 16.00
 Base Rate: 16.00
 Label#: EL756209282US
 Corporate Acct.: 070255
 Amount Charged: 16.00

Subtotal	0.00
Total	0.00

Number of Items Sold: 4

Don't forget Delivery Confirmation!
Kenneth A. Brown, Postmaster